

Form 605

Corporations Act
Section 671B

Notice of ceasing to be a substantial holder

To: Company Name/Scheme OPTHEA LTD

ACN/ARSN 006 340 567

1. Details of substantial holder (1)

Name Bank of America Corporation and its related bodies corporate
(See Appendix C)

ACN/ARSN (if applicable): _____

The holder ceased to be a substantial shareholder on **30 July 2021**

The previous notice was given to the company on **23 June 2021**

The previous notice was dated **21 June 2021**

2. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest (2) of the substantial holder or an associate (3) in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of Change (4)	Consideration given in relation to change (5)	Class (6) and Number of securities affected	Person's votes affected
Please see Appendix A					

3. Changes in association

The persons who have become associates (3) of, ceased to be associates of, or have changed the nature of their association (7) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
N/A	N/A

4. Addresses

The addresses of persons named in this form are as follows:

Name	Addresses
Bank of America Corporation	100 North Tryon Street, Charlotte, North Carolina, 28255, USA
Merrill Lynch (Australia) Futures Limited	Level 34, Governor Phillip Tower, 1 Farrer Place, Sydney NSW 2000, Australia
Merrill Lynch Markets (Australia) Pty. Limited	Level 34, Governor Phillip Tower, 1 Farrer Place, Sydney NSW 2000, Australia
Merrill Lynch International	2 King Edward Street, London, England, EC1A 1HQ, United Kingdom
BofA Securities, Inc.	One Bryant Park, New York NY 10036, USA

SIGNATURE

Print Name: Kelvin Kwok

Capacity: Authorised signatory

Sign Here:



Date: 3 August 2021

Appendix A

Date of change	Person whose relevant interest changed	Nature of change (4)	Consideration (5)	Class (6) of securities	Securities affected	Person's votes affected	Remark
06/22/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(16,786)	(16,786)	Refer to App. B
06/22/2021	Merrill Lynch International	Securities returned	N/A	ADS	(9)	(72)	Refer to App. B
06/22/2021	Merrill Lynch (Australia) Futures Limited	BUY	75,417	Ordinary	57,473	57,473	N/A
06/22/2021	Merrill Lynch (Australia) Futures Limited	SELL	(88,872)	Ordinary	(68,101)	(68,101)	N/A
06/22/2021	Merrill Lynch (Australia) Futures Limited	BUY	13,870	Ordinary	10,628	10,628	N/A
06/23/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(66,897)	(66,897)	Refer to App. B
06/23/2021	Merrill Lynch (Australia) Futures Limited	BUY	25,324	Ordinary	20,019	20,019	N/A
06/23/2021	Merrill Lynch (Australia) Futures Limited	BUY	83,317	Ordinary	65,771	65,771	N/A
06/23/2021	Merrill Lynch (Australia) Futures Limited	SELL	(11,172)	Ordinary	(8,728)	(8,728)	N/A
06/23/2021	Merrill Lynch (Australia) Futures Limited	BUY	11,331	Ordinary	8,966	8,966	N/A
06/23/2021	Merrill Lynch (Australia) Futures Limited	SELL	(110,116)	Ordinary	(86,028)	(86,028)	N/A
06/24/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(18,348)	(18,348)	Refer to App. B
06/24/2021	Merrill Lynch (Australia) Futures Limited	BUY	114,648	Ordinary	87,378	87,378	N/A
06/24/2021	Merrill Lynch (Australia) Futures Limited	SELL	(122,349)	Ordinary	(94,478)	(94,478)	N/A
06/24/2021	Merrill Lynch (Australia) Futures Limited	BUY	9,345	Ordinary	7,100	7,100	N/A
06/25/2021	BofA Securities, Inc.	Securities borrowed	N/A	ADS	400	3,200	Refer to App. B
06/25/2021	Merrill Lynch International	SELL	(188)	Ordinary	(148)	(148)	N/A
06/25/2021	Merrill Lynch International	BUY	188	Ordinary	148	148	N/A
06/25/2021	Merrill Lynch (Australia) Futures Limited	BUY	42,994	Ordinary	33,738	33,738	N/A
06/25/2021	Merrill Lynch (Australia) Futures Limited	SELL	(42,847)	Ordinary	(33,738)	(33,738)	N/A
06/28/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(400)	(3,200)	Refer to App. B
06/28/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(200)	(1,600)	Refer to App. B
06/28/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(100)	(800)	Refer to App. B
06/28/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(600)	(4,800)	Refer to App. B
06/28/2021	Merrill Lynch (Australia) Futures Limited	BUY	103,265	Ordinary	79,916	79,916	N/A
06/28/2021	Merrill Lynch (Australia) Futures Limited	BUY	53,117	Ordinary	41,759	41,759	N/A
06/28/2021	Merrill Lynch (Australia) Futures Limited	SELL	(159,394)	Ordinary	(121,675)	(121,675)	N/A
06/29/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities borrowed	N/A	Ordinary	158,291	158,291	Refer to App. B

Date of change	Person whose relevant interest changed	Nature of change (4)	Consideration (5)	Class (6) of securities	Securities affected	Person's votes affected	Remark
06/29/2021	Merrill Lynch (Australia) Futures Limited	BUY	1,738	Ordinary	1,332	1,332	N/A
06/29/2021	Merrill Lynch (Australia) Futures Limited	BUY	57,088	Ordinary	44,337	44,337	N/A
06/29/2021	Merrill Lynch (Australia) Futures Limited	SELL	(59,370)	Ordinary	(45,669)	(45,669)	N/A
06/30/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(66,691)	(66,691)	Refer to App. B
06/30/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(9,425)	(9,425)	Refer to App. B
06/30/2021	Merrill Lynch (Australia) Futures Limited	BUY	75,128	Ordinary	56,981	56,981	N/A
06/30/2021	Merrill Lynch (Australia) Futures Limited	SELL	(115,935)	Ordinary	(88,859)	(88,859)	N/A
06/30/2021	Merrill Lynch (Australia) Futures Limited	BUY	257,718	Ordinary	197,692	197,692	N/A
06/30/2021	Merrill Lynch (Australia) Futures Limited	SELL	(76,070)	Ordinary	(56,981)	(56,981)	N/A
07/01/2021	Merrill Lynch (Australia) Futures Limited	BUY	32,040	Ordinary	24,562	24,562	N/A
07/01/2021	Merrill Lynch (Australia) Futures Limited	SELL	(31,562)	Ordinary	(24,562)	(24,562)	N/A
07/02/2021	BofA Securities, Inc.	Securities borrowed	N/A	ADS	800	6,400	Refer to App. B
07/02/2021	Merrill Lynch (Australia) Futures Limited	BUY	19,147	Ordinary	14,802	14,802	N/A
07/02/2021	Merrill Lynch (Australia) Futures Limited	BUY	2,049	Ordinary	1,607	1,607	N/A
07/02/2021	Merrill Lynch (Australia) Futures Limited	BUY	26,203	Ordinary	20,551	20,551	N/A
07/02/2021	Merrill Lynch (Australia) Futures Limited	SELL	(27,007)	Ordinary	(20,936)	(20,936)	N/A
07/02/2021	Merrill Lynch (Australia) Futures Limited	SELL	(83,753)	Ordinary	(65,689)	(65,689)	N/A
07/05/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(31,872)	(31,872)	Refer to App. B
07/05/2021	Merrill Lynch (Australia) Futures Limited	BUY	26,167	Ordinary	20,683	20,683	N/A
07/05/2021	Merrill Lynch (Australia) Futures Limited	SELL	(9,058)	Ordinary	(7,142)	(7,142)	N/A
07/05/2021	Merrill Lynch (Australia) Futures Limited	SELL	(52,460)	Ordinary	(41,366)	(41,366)	N/A
07/05/2021	Merrill Lynch (Australia) Futures Limited	SELL	(29,917)	Ordinary	(23,744)	(23,744)	N/A
07/06/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities borrowed	N/A	Ordinary	98,899	98,899	Refer to App. B
07/06/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(58,201)	(58,201)	Refer to App. B
07/06/2021	BofA Securities, Inc.	Securities borrowed	N/A	ADS	2,200	17,600	Refer to App. B
07/06/2021	Merrill Lynch (Australia) Futures Limited	SELL	(21,664)	Ordinary	(15,573)	(15,573)	N/A
07/06/2021	Merrill Lynch (Australia) Futures Limited	BUY	206,207	Ordinary	150,331	150,331	N/A
07/06/2021	Merrill Lynch (Australia) Futures Limited	SELL	(5,216)	Ordinary	(3,800)	(3,800)	N/A
07/06/2021	Merrill Lynch (Australia) Futures Limited	BUY	207,360	Ordinary	150,775	150,775	N/A

Date of change	Person whose relevant interest changed	Nature of change (4)	Consideration (5)	Class (6) of securities	Securities affected	Person's votes affected	Remark
07/06/2021	Merrill Lynch (Australia) Futures Limited	SELL	(264,337)	Ordinary	(188,812)	(188,812)	N/A
07/07/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(21,763)	(21,763)	Refer to App. B
07/07/2021	Merrill Lynch (Australia) Futures Limited	BUY	77,178	Ordinary	57,472	57,472	N/A
07/07/2021	Merrill Lynch (Australia) Futures Limited	SELL	(7,725)	Ordinary	(5,618)	(5,618)	N/A
07/07/2021	Merrill Lynch (Australia) Futures Limited	SELL	(76,438)	Ordinary	(57,472)	(57,472)	N/A
07/08/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(3,140)	(3,140)	Refer to App. B
07/08/2021	BofA Securities, Inc.	Securities borrowed	N/A	ADS	600	4,800	Refer to App. B
07/08/2021	Merrill Lynch International	BUY	333	Ordinary	257	257	N/A
07/08/2021	Merrill Lynch (Australia) Futures Limited	SELL	(140,709)	Ordinary	(108,238)	(108,238)	N/A
07/08/2021	Merrill Lynch (Australia) Futures Limited	BUY	108,572	Ordinary	83,452	83,452	N/A
07/08/2021	Merrill Lynch (Australia) Futures Limited	BUY	53,487	Ordinary	40,984	40,984	N/A
07/08/2021	Merrill Lynch (Australia) Futures Limited	SELL	(37,956)	Ordinary	(29,008)	(29,008)	N/A
07/09/2021	Merrill Lynch International	Securities borrowed	N/A	ADS	35,706	285,648	Refer to App. B
07/09/2021	BofA Securities, Inc.	Securities borrowed	N/A	ADS	100	800	Refer to App. B
07/09/2021	Merrill Lynch (Australia) Futures Limited	BUY	2,690	Ordinary	2,069	2,069	N/A
07/09/2021	Merrill Lynch (Australia) Futures Limited	BUY	37,394	Ordinary	29,415	29,415	N/A
07/09/2021	Merrill Lynch (Australia) Futures Limited	SELL	(11,643)	Ordinary	(8,956)	(8,956)	N/A
07/09/2021	Merrill Lynch (Australia) Futures Limited	SELL	(83,542)	Ordinary	(65,894)	(65,894)	N/A
07/09/2021	Merrill Lynch (Australia) Futures Limited	SELL	(49,376)	Ordinary	(38,726)	(38,726)	N/A
07/12/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(62)	(62)	Refer to App. B
07/12/2021	Merrill Lynch International	Securities returned	N/A	ADS	(400,969)	(3,207,752)	Refer to App. B
07/12/2021	Merrill Lynch International	Securities returned	N/A	ADS	(35,706)	(285,648)	Refer to App. B
07/12/2021	Merrill Lynch International	Securities borrowed	N/A	ADS	436,675	3,493,400	Refer to App. B
07/12/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(100)	(800)	Refer to App. B
07/12/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(2,200)	(17,600)	Refer to App. B
07/12/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(800)	(6,400)	Refer to App. B
07/12/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(500)	(4,000)	Refer to App. B
07/12/2021	Merrill Lynch (Australia) Futures Limited	BUY	67,482	Ordinary	51,425	51,425	N/A
07/12/2021	Merrill Lynch (Australia) Futures Limited	BUY	29,122	Ordinary	21,834	21,834	N/A
07/12/2021	Merrill Lynch (Australia) Futures Limited	SELL	(97,068)	Ordinary	(73,259)	(73,259)	N/A

Date of change	Person whose relevant interest changed	Nature of change (4)	Consideration (5)	Class (6) of securities	Securities affected	Person's votes affected	Remark
07/13/2021	Merrill Lynch International	Securities returned	N/A	ADS	(12)	(96)	Refer to App. B
07/13/2021	BofA Securities, Inc.	Securities borrowed	N/A	ADS	700	5,600	Refer to App. B
07/13/2021	BofA Securities, Inc.	Securities borrowed	N/A	ADS	1,600	12,800	Refer to App. B
07/13/2021	Merrill Lynch (Australia) Futures Limited	BUY	58,419	Ordinary	44,631	44,631	N/A
07/13/2021	Merrill Lynch (Australia) Futures Limited	BUY	8,560	Ordinary	6,636	6,636	N/A
07/13/2021	Merrill Lynch (Australia) Futures Limited	SELL	(64,833)	Ordinary	(49,303)	(49,303)	N/A
07/13/2021	Merrill Lynch (Australia) Futures Limited	SELL	(2,574)	Ordinary	(1,964)	(1,964)	N/A
07/14/2021	BofA Securities, Inc.	Securities borrowed	N/A	ADS	900	7,200	Refer to App. B
07/14/2021	Merrill Lynch (Australia) Futures Limited	BUY	1	Ordinary	1	1	N/A
07/14/2021	Merrill Lynch (Australia) Futures Limited	BUY	71,898	Ordinary	54,234	54,234	N/A
07/14/2021	Merrill Lynch (Australia) Futures Limited	BUY	37,047	Ordinary	27,570	27,570	N/A
07/14/2021	Merrill Lynch (Australia) Futures Limited	SELL	(89,058)	Ordinary	(66,214)	(66,214)	N/A
07/15/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(300)	(2,400)	Refer to App. B
07/15/2021	Merrill Lynch (Australia) Futures Limited	SELL	(51,635)	Ordinary	(39,719)	(39,719)	N/A
07/15/2021	Merrill Lynch (Australia) Futures Limited	BUY	52,721	Ordinary	39,719	39,719	N/A
07/15/2021	Merrill Lynch (Australia) Futures Limited	SELL	(11,203)	Ordinary	(8,420)	(8,420)	N/A
07/15/2021	Merrill Lynch (Australia) Futures Limited	BUY	821	Ordinary	624	624	N/A
07/16/2021	BofA Securities, Inc.	Securities borrowed	N/A	ADS	5,700	45,600	Refer to App. B
07/16/2021	Merrill Lynch (Australia) Futures Limited	BUY	11,567	Ordinary	9,144	9,144	N/A
07/16/2021	Merrill Lynch (Australia) Futures Limited	SELL	(4,914)	Ordinary	(3,802)	(3,802)	N/A
07/16/2021	Merrill Lynch (Australia) Futures Limited	BUY	58,698	Ordinary	46,294	46,294	N/A
07/16/2021	Merrill Lynch (Australia) Futures Limited	SELL	(58,562)	Ordinary	(46,294)	(46,294)	N/A
07/19/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(33,828)	(33,828)	Refer to App. B
07/19/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(35,676)	(35,676)	Refer to App. B
07/19/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(1,300)	(10,400)	Refer to App. B
07/19/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(900)	(7,200)	Refer to App. B
07/19/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(3,200)	(25,600)	Refer to App. B
07/19/2021	Merrill Lynch (Australia) Futures Limited	BUY	102,170	Ordinary	81,800	81,800	N/A
07/19/2021	Merrill Lynch (Australia) Futures Limited	SELL	(150,370)	Ordinary	(120,296)	(120,296)	N/A
07/19/2021	Merrill Lynch (Australia) Futures Limited	BUY	36,695	Ordinary	29,397	29,397	N/A
07/20/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(1,500)	(12,000)	Refer to App. B

Date of change	Person whose relevant interest changed	Nature of change (4)	Consideration (5)	Class (6) of securities	Securities affected	Person's votes affected	Remark
07/20/2021	Merrill Lynch (Australia) Futures Limited	BUY	40,742	Ordinary	32,682	32,682	N/A
07/20/2021	Merrill Lynch (Australia) Futures Limited	SELL	(9,604)	Ordinary	(7,702)	(7,702)	N/A
07/20/2021	Merrill Lynch (Australia) Futures Limited	BUY	9,653	Ordinary	7,785	7,785	N/A
07/20/2021	Merrill Lynch (Australia) Futures Limited	SELL	(40,526)	Ordinary	(32,682)	(32,682)	N/A
07/21/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities borrowed	N/A	Ordinary	147,031	147,031	Refer to App. B
07/21/2021	BofA Securities, Inc.	Securities borrowed	N/A	ADS	400	3,200	Refer to App. B
07/21/2021	Merrill Lynch (Australia) Futures Limited	BUY	28,712	Ordinary	22,519	22,519	N/A
07/21/2021	Merrill Lynch (Australia) Futures Limited	BUY	3,266	Ordinary	2,594	2,594	N/A
07/21/2021	Merrill Lynch (Australia) Futures Limited	SELL	(3,430)	Ordinary	(2,686)	(2,686)	N/A
07/21/2021	Merrill Lynch (Australia) Futures Limited	SELL	(27,810)	Ordinary	(21,984)	(21,984)	N/A
07/22/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(10,421)	(10,421)	Refer to App. B
07/22/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(100)	(800)	Refer to App. B
07/22/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(300)	(2,400)	Refer to App. B
07/22/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(100)	(800)	Refer to App. B
07/22/2021	Merrill Lynch (Australia) Futures Limited	BUY	54,267	Ordinary	42,394	42,394	N/A
07/22/2021	Merrill Lynch (Australia) Futures Limited	SELL	(2,580)	Ordinary	(2,015)	(2,015)	N/A
07/22/2021	Merrill Lynch (Australia) Futures Limited	BUY	5,032	Ordinary	3,947	3,947	N/A
07/22/2021	Merrill Lynch (Australia) Futures Limited	SELL	(54,052)	Ordinary	(42,394)	(42,394)	N/A
07/23/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(21,033)	(21,033)	Refer to App. B
07/23/2021	Merrill Lynch International	Securities returned	N/A	ADS	(2)	(16)	Refer to App. B
07/23/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(200)	(1,600)	Refer to App. B
07/23/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(700)	(5,600)	Refer to App. B
07/23/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(100)	(800)	Refer to App. B
07/23/2021	Merrill Lynch (Australia) Futures Limited	BUY	17,362	Ordinary	13,309	13,309	N/A
07/23/2021	Merrill Lynch (Australia) Futures Limited	BUY	61,241	Ordinary	46,119	46,119	N/A
07/23/2021	Merrill Lynch (Australia) Futures Limited	BUY	35,978	Ordinary	26,747	26,747	N/A
07/23/2021	Merrill Lynch (Australia) Futures Limited	SELL	(13,810)	Ordinary	(10,359)	(10,359)	N/A
07/23/2021	Merrill Lynch (Australia) Futures Limited	SELL	(80,316)	Ordinary	(60,162)	(60,162)	N/A
07/26/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(21,516)	(21,516)	Refer to App. B
07/26/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(100)	(800)	Refer to App. B

Date of change	Person whose relevant interest changed	Nature of change (4)	Consideration (5)	Class (6) of securities	Securities affected	Person's votes affected	Remark
07/26/2021	Merrill Lynch (Australia) Futures Limited	SELL	(41,836)	Ordinary	(31,694)	(31,694)	N/A
07/26/2021	Merrill Lynch (Australia) Futures Limited	BUY	41,714	Ordinary	31,694	31,694	N/A
07/26/2021	Merrill Lynch (Australia) Futures Limited	SELL	(9,070)	Ordinary	(6,832)	(6,832)	N/A
07/26/2021	Merrill Lynch (Australia) Futures Limited	SELL	(473)	Ordinary	(358)	(358)	N/A
07/27/2021	BofA Securities, Inc.	Securities borrowed	N/A	ADS	500	4,000	Refer to App. B
07/27/2021	Merrill Lynch (Australia) Futures Limited	BUY	122,222	Ordinary	94,911	94,911	N/A
07/27/2021	Merrill Lynch (Australia) Futures Limited	SELL	(1,336)	Ordinary	(1,040)	(1,040)	N/A
07/27/2021	Merrill Lynch (Australia) Futures Limited	SELL	(2,029)	Ordinary	(1,591)	(1,591)	N/A
07/27/2021	Merrill Lynch (Australia) Futures Limited	BUY	33,047	Ordinary	25,789	25,789	N/A
07/27/2021	Merrill Lynch (Australia) Futures Limited	SELL	(49,363)	Ordinary	(38,118)	(38,118)	N/A
07/28/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(10,253)	(10,253)	Refer to App. B
07/28/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(51,281)	(51,281)	Refer to App. B
07/28/2021	BofA Securities, Inc.	Securities borrowed	N/A	ADS	700	5,600	Refer to App. B
07/28/2021	BofA Securities, Inc.	Securities borrowed	N/A	ADS	800	6,400	Refer to App. B
07/28/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(500)	(4,000)	Refer to App. B
07/28/2021	Merrill Lynch (Australia) Futures Limited	BUY	43,679	Ordinary	34,101	34,101	N/A
07/28/2021	Merrill Lynch (Australia) Futures Limited	BUY	17,464	Ordinary	13,697	13,697	N/A
07/28/2021	Merrill Lynch (Australia) Futures Limited	SELL	(57,780)	Ordinary	(45,318)	(45,318)	N/A
07/29/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(6,726)	(6,726)	Refer to App. B
07/29/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(89,024)	(89,024)	Refer to App. B
07/29/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(250,056)	(250,056)	Refer to App. B
07/29/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(496,781)	(496,781)	Refer to App. B
07/29/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(849,960)	(849,960)	Refer to App. B
07/29/2021	BofA Securities, Inc.	Securities borrowed	N/A	ADS	200	1,600	Refer to App. B
07/29/2021	Merrill Lynch (Australia) Futures Limited	BUY	11,355	Ordinary	8,909	8,909	N/A
07/29/2021	Merrill Lynch (Australia) Futures Limited	BUY	1,411	Ordinary	1,110	1,110	N/A
07/29/2021	Merrill Lynch (Australia) Futures Limited	SELL	(559)	Ordinary	(442)	(442)	N/A
07/29/2021	Merrill Lynch (Australia) Futures Limited	SELL	(14,348)	Ordinary	(11,342)	(11,342)	N/A

Date of change	Person whose relevant interest changed	Nature of change (4)	Consideration (5)	Class (6) of securities	Securities affected	Person's votes affected	Remark
07/29/2021	Merrill Lynch (Australia) Futures Limited	SELL	(528)	Ordinary	(409)	(409)	N/A
07/30/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(5,880)	(5,880)	Refer to App. B
07/30/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(100)	(800)	Refer to App. B
07/30/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(700)	(5,600)	Refer to App. B
07/30/2021	Merrill Lynch (Australia) Futures Limited	SELL	(13,334)	Ordinary	(10,667)	(10,667)	N/A
07/30/2021	Merrill Lynch (Australia) Futures Limited	SELL	(387)	Ordinary	(306)	(306)	N/A
07/30/2021	Merrill Lynch (Australia) Futures Limited	BUY	10,164	Ordinary	8,131	8,131	N/A
07/30/2021	Merrill Lynch (Australia) Futures Limited	BUY	13,362	Ordinary	10,612	10,612	N/A

Appendix B-1: Prescribed information

Type of agreement	International Prime Brokerage Agreement
Parties to agreement	Merrill Lynch International, Merrill Lynch Markets (Australia) Pty Limited and Equity Trustees Limited As Trustee And Responsible Entity Of Regal Investment Fund
Holder of voting rights	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	On recall of the securities loan by the securities lender.
Does the borrower have the right to return early?	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
Does the lender have the right to recall early?	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	N/A

Appendix B-2: Prescribed information

Type of agreement	International Prime Brokerage Agreement
Parties to agreement	Merrill Lynch International, Merrill Lynch Markets (Australia) Pty Limited and Regal Funds Management Pty Limited as Trustee of Tasman Market Neutral Fund
Holder of voting rights	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	On recall of the securities loan by the securities lender.
Does the borrower have the right to return early?	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
Does the lender have the right to recall early?	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	N/A

Appendix B-3: Prescribed information

Type of agreement	International Prime Brokerage Agreement
Parties to agreement	Merrill Lynch International, Merrill Lynch Markets (Australia) Pty Limited and Regal Funds Management Pty Limited as Trustee of Atlantic Absolute Return Fund
Holder of voting rights	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	On recall of the securities loan by the securities lender.
Does the borrower have the right to return early?	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
Does the lender have the right to recall early?	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	N/A

Appendix B-4: Prescribed information

Type of agreement	International Prime Brokerage Agreement
Parties to agreement	Merrill Lynch International, Merrill Lynch Markets (Australia) Pty Limited and Regal Funds Management Pty Limited as Trustee of Regal Australian Long Short Equity Fund
Holder of voting rights	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	On recall of the securities loan by the securities lender.
Does the borrower have the right to return early?	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
Does the lender have the right to recall early?	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	N/A

Appendix B-5: Prescribed information

Type of agreement	International Prime Brokerage Agreement
Parties to agreement	Merrill Lynch International, Merrill Lynch Markets (Australia) Pty Limited and Susquehanna Pacific Pty Ltd
Holder of voting rights	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	On recall of the securities loan by the securities lender.
Does the borrower have the right to return early?	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
Does the lender have the right to recall early?	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	N/A

Appendix B-6: Prescribed information

Type of agreement	International Prime Brokerage Agreement
Parties to agreement	Merrill Lynch International, Merrill Lynch Markets (Australia) Pty Limited and Amazon Market Neutral Fund (GCM)
Holder of voting rights	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	On recall of the securities loan by the securities lender.
Does the borrower have the right to return early?	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
Does the lender have the right to recall early?	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	N/A

Appendix B-7: Prescribed information

Type of agreement	International Prime Brokerage Agreement
Parties to agreement	Merrill Lynch International, Merrill Lynch Markets (Australia) Pty Limited and PARHELION LTD (GCM)
Holder of voting rights	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	On recall of the securities loan by the securities lender.
Does the borrower have the right to return early?	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
Does the lender have the right to recall early?	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	N/A

Appendix B-8: Prescribed information

Type of agreement	International Prime Brokerage Agreement
Parties to agreement	Merrill Lynch International, Merrill Lynch Markets (Australia) Pty Limited and ZAMBEZI ABSOLUTE RETURN FUND (GCM)
Holder of voting rights	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	On recall of the securities loan by the securities lender.
Does the borrower have the right to return early?	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
Does the lender have the right to recall early?	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	N/A

Appendix B-9: Prescribed information

Type of agreement	Securities Lending Agreement
Parties to agreement	BofA Securities, Inc. and INTERACTIVE BROKERS
Holder of voting rights	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	On recall of the securities loan by the securities lender.
Does the borrower have the right to return early?	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
Does the lender have the right to recall early?	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	N/A

Appendix B-10: Prescribed information

Type of agreement	Securities Lending Agreement
Parties to agreement	BofA Securities, Inc. and JP MORGAN BANK AS AGENT
Holder of voting rights	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	On recall of the securities loan by the securities lender.
Does the borrower have the right to return early?	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
Does the lender have the right to recall early?	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	N/A

Appendix B-11: Prescribed information

Type of agreement	Securities Lending Agreement
Parties to agreement	BofA Securities, Inc. and NATIONAL FINANCIAL SVCS
Holder of voting rights	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	On recall of the securities loan by the securities lender.
Does the borrower have the right to return early?	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
Does the lender have the right to recall early?	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	N/A

Appendix B-12: Prescribed information

Type of agreement	Securities Lending Agreement
Parties to agreement	BofA Securities, Inc. and CHARLES SCHWAB & CO INC
Holder of voting rights	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	On recall of the securities loan by the securities lender.
Does the borrower have the right to return early?	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
Does the lender have the right to recall early?	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	N/A

Appendix B-13: Prescribed information

Type of agreement	Securities Lending Agreement
Parties to agreement	BofA Securities, Inc. and GOLDMAN SACHS TRUST CO.
Holder of voting rights	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	On recall of the securities loan by the securities lender.
Does the borrower have the right to return early?	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
Does the lender have the right to recall early?	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	N/A

Appendix C

Related bodies corporate	Incorporated	ACN/Registration/Incorporation Number
Merrill Lynch International	United Kingdom	2312079
ML UK Capital Holdings Limited	United Kingdom	4971231
BofAML EMEA Holdings 2 Limited	United States	119605
Merrill Lynch (Australia) Futures Limited	Australia	003639674
Merrill Lynch International (Australia) Ltd	Australia	002892846
Merrill Lynch Markets (Australia) Pty. Limited	Australia	075587816
Merrill Lynch (Australasia) Pty. Ltd	Australia	075923894
Bank of America Global Holdings, LLC	United States	5169939
BofAML Jersey Holdings Limited	Jersey	115831
BofA Securities, Inc.	United States	5793661
NB Holdings Corporation	United States	2361372
Bank of America Corporation	United States	N/A628