

Form 604

Corporations Act
Section 671B

Notice of change of interests of substantial holder

To: Company Name/Scheme **OPTHEA LIMITED**

ACN/ARSN **006 340 567**

1. Details of substantial holder

Name **Bank of America Corporation and its related bodies corporate
(See Appendix C)**

ACN/ARSN (if applicable): _____

There was a change in the interests of the substantial holder on **29 September 2021**

The previous notice was given to the company on **26 August 2021**

2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous Notice		Present Notice	
	Person's Votes	Voting Power (5)	Person's Votes	Voting Power (5)
Ordinary	16,684,659	4.76%	15,426,401	4.40%
American Depository Shares (ADS)	5,485,888	1.56%	2,892,160	0.82%
TOTAL	22,170,547	6.32%	18,318,561	5.22%

3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of Change (6)	Consideration given in relation to change (7)	Class and Number of securities affected	Person's votes affected
Please see Appendix A					

4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
Merrill Lynch (Australia) Futures Limited	Merrill Lynch (Australia) Nominees Pty. Ltd	Merrill Lynch (Australia) Futures Limited	Power to control voting and/or disposal of securities in its capacity as ultimate beneficial owner having a principal position.	Ordinary 5,557,050	5,557,050
Merrill Lynch International	Merrill Lynch (Australia) Nominees Pty. Ltd	Merrill Lynch International	Power to control voting and/or disposal of securities in its capacity as ultimate beneficial owner having a principal position.	Ordinary 5,074	5,074
Merrill Lynch Markets (Australia) Pty. Limited	Merrill Lynch (Australia) Nominees Pty. Ltd	Merrill Lynch Markets (Australia) Pty. Limited	Borrowing of securities pursuant to a Prime Brokerage Agreement (see Appendix B), with power to control the voting and/or disposal of borrowed securities.	Ordinary 9,864,277	9,864,277
Merrill Lynch International		Merrill Lynch International	Borrowing of securities pursuant to a Prime Brokerage Agreement (see Appendix B), with power to control the voting and/or disposal of borrowed securities.	361,520 ADS representing 2,892,160 Ordinary Shares	2,892,160

5. Changes in association

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ ARSN (if applicable)	Nature of association
N/A	N/A

6. Addresses

The addresses of persons named in this form are as follows:

Name	Addresses
Bank of America Corporation	100 North Tryon Street, Charlotte, North Carolina, 28255, USA
Merrill Lynch (Australia) Futures Limited	Level 34, Governor Phillip Tower, 1 Farrer Place, Sydney NSW 2000, Australia
Merrill Lynch (Australia) Nominees Pty. Limited	Level 19, 120 Collins St, Melbourne Vic 3000, Australia
Merrill Lynch Markets (Australia) Pty. Limited	Level 34, Governor Phillip Tower, 1 Farrer Place, Sydney NSW 2000, Australia
Merrill Lynch International	2 King Edward Street, London, England, EC1A 1HQ, United Kingdom
BofA Securities, Inc.	One Bryant Park, New York NY 10036, USA

SIGNATURE

Print Name: Kelvin Kwok

Capacity: Authorised signatory

Sign Here:



Date: 1 October 2021

Appendix A

Date of change	Person whose relevant interest changed	Nature of change (4)	Consideration (5)	Class (6) of securities	Securities affected	Person's votes affected	Remark
08/25/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities borrowed	N/A	Ordinary	456,743	456,743	Refer to App. B
08/25/2021	Merrill Lynch International	Securities returned	N/A	ADS	(29,236)	(233,888)	Refer to App. B
08/25/2021	Merrill Lynch International	Securities returned	N/A	ADS	(396,091)	(3,168,728)	Refer to App. B
08/25/2021	Merrill Lynch International	Securities borrowed	N/A	ADS	425,327	3,402,616	Refer to App. B
08/25/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(800)	(6,400)	Refer to App. B
08/25/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(200)	(1,600)	Refer to App. B
08/25/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(2,400)	(19,200)	Refer to App. B
08/25/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(3,900)	(31,200)	Refer to App. B
08/25/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(100)	(800)	Refer to App. B
08/25/2021	BofA Securities, Inc.	Securities borrowed	N/A	ADS	10,000	80,000	Refer to App. B
08/25/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(600)	(4,800)	Refer to App. B
08/25/2021	Merrill Lynch International	SELL	(61)	Ordinary	(43)	(43)	N/A
08/25/2021	Merrill Lynch International	BUY	61	Ordinary	43	43	N/A
08/25/2021	Merrill Lynch (Australia) Futures Limited	BUY	23,689	Ordinary	16,701	16,701	N/A
08/25/2021	Merrill Lynch (Australia) Futures Limited	SELL	(3,004)	Ordinary	(2,146)	(2,146)	N/A
08/25/2021	Merrill Lynch (Australia) Futures Limited	BUY	63,189	Ordinary	44,545	44,545	N/A
08/25/2021	Merrill Lynch (Australia) Futures Limited	SELL	(79,846)	Ordinary	(57,033)	(57,033)	N/A
08/26/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(67,507)	(67,507)	Refer to App. B
08/26/2021	BofA Securities, Inc.	Securities borrowed	N/A	ADS	100	800	Refer to App. B
08/26/2021	BofA Securities, Inc.	Securities borrowed	N/A	ADS	1,100	8,800	Refer to App. B
08/26/2021	Merrill Lynch International	BUY	63	Ordinary	43	43	N/A
08/26/2021	Merrill Lynch (Australia) Futures Limited	BUY	104,063	Ordinary	71,897	71,897	N/A
08/26/2021	Merrill Lynch (Australia) Futures Limited	SELL	(1,937)	Ordinary	(1,331)	(1,331)	N/A
08/26/2021	Merrill Lynch (Australia) Futures Limited	BUY	75,342	Ordinary	51,618	51,618	N/A
08/26/2021	Merrill Lynch (Australia) Futures Limited	BUY	193	Ordinary	134	134	N/A
08/26/2021	Merrill Lynch (Australia) Futures Limited	SELL	(3,904)	Ordinary	(2,676)	(2,676)	N/A
08/26/2021	Merrill Lynch (Australia) Futures Limited	SELL	(109,884)	Ordinary	(77,932)	(77,932)	N/A
08/27/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities borrowed	N/A	Ordinary	487,851	487,851	Refer to App. B

Date of change	Person whose relevant interest changed	Nature of change (4)	Consideration (5)	Class (6) of securities	Securities affected	Person's votes affected	Remark
08/27/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(44,727)	(44,727)	Refer to App. B
08/27/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(121,312)	(121,312)	Refer to App. B
08/27/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(208,016)	(208,016)	Refer to App. B
08/27/2021	BofA Securities, Inc.	Securities borrowed	N/A	ADS	200	1,600	Refer to App. B
08/27/2021	Merrill Lynch (Australia) Futures Limited	BUY	501	Ordinary	348	348	N/A
08/27/2021	Merrill Lynch (Australia) Futures Limited	SELL	(36,622)	Ordinary	(25,790)	(25,790)	N/A
08/27/2021	Merrill Lynch (Australia) Futures Limited	SELL	(1,687)	Ordinary	(1,184)	(1,184)	N/A
08/27/2021	Merrill Lynch (Australia) Futures Limited	BUY	35,182	Ordinary	24,739	24,739	N/A
08/27/2021	Merrill Lynch (Australia) Futures Limited	SELL	(36,315)	Ordinary	(25,574)	(25,574)	N/A
08/30/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(139,190)	(139,190)	Refer to App. B
08/30/2021	BofA Securities, Inc.	Securities borrowed	N/A	ADS	300	2,400	Refer to App. B
08/30/2021	Merrill Lynch (Australia) Futures Limited	SELL	(1,420)	Ordinary	(1,000)	(1,000)	N/A
08/30/2021	Merrill Lynch (Australia) Futures Limited	BUY	25,707	Ordinary	18,972	18,972	N/A
08/30/2021	Merrill Lynch (Australia) Futures Limited	SELL	(1,535)	Ordinary	(1,098)	(1,098)	N/A
08/30/2021	Merrill Lynch (Australia) Futures Limited	BUY	70,712	Ordinary	51,074	51,074	N/A
08/30/2021	Merrill Lynch (Australia) Futures Limited	SELL	(72,525)	Ordinary	(51,074)	(51,074)	N/A
08/31/2021	Merrill Lynch International	Securities returned	N/A	ADS	(296)	(2,368)	Refer to App. B
08/31/2021	BofA Securities, Inc.	Securities borrowed	N/A	ADS	1,000	8,000	Refer to App. B
08/31/2021	Merrill Lynch (Australia) Futures Limited	BUY	41,559	Ordinary	30,819	30,819	N/A
08/31/2021	Merrill Lynch (Australia) Futures Limited	BUY	88,414	Ordinary	63,368	63,368	N/A
08/31/2021	Merrill Lynch (Australia) Futures Limited	SELL	(1,295)	Ordinary	(989)	(989)	N/A
08/31/2021	Merrill Lynch (Australia) Futures Limited	SELL	(43,148)	Ordinary	(30,820)	(30,820)	N/A
09/01/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(109,537)	(109,537)	Refer to App. B
09/01/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(29,481)	(29,481)	Refer to App. B
09/01/2021	Merrill Lynch International	Securities returned	N/A	ADS	(1,590)	(12,720)	Refer to App. B
09/01/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(300)	(2,400)	Refer to App. B
09/01/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(1,000)	(8,000)	Refer to App. B
09/01/2021	BofA Securities, Inc.	Securities borrowed	N/A	ADS	1,500	12,000	Refer to App. B
09/01/2021	Merrill Lynch (Australia) Futures Limited	BUY	17,138	Ordinary	13,183	13,183	N/A
09/01/2021	Merrill Lynch (Australia) Futures Limited	SELL	(31,904)	Ordinary	(24,434)	(24,434)	N/A
09/01/2021	Merrill Lynch (Australia) Futures Limited	BUY	42,769	Ordinary	32,259	32,259	N/A

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09/01/2021	Merrill Lynch (Australia) Futures Limited	SELL	(49,219)	Ordinary	(37,861)	(37,861)	N/A
09/02/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities borrowed	N/A	Ordinary	156,791	156,791	Refer to App. B
09/02/2021	Merrill Lynch International	Securities returned	N/A	ADS	(200)	(1,600)	Refer to App. B
09/02/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(200)	(1,600)	Refer to App. B
09/02/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(100)	(800)	Refer to App. B
09/02/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(200)	(1,600)	Refer to App. B
09/02/2021	Merrill Lynch (Australia) Futures Limited	BUY	6,243	Ordinary	4,762	4,762	N/A
09/02/2021	Merrill Lynch (Australia) Futures Limited	SELL	(655)	Ordinary	(500)	(500)	N/A
09/02/2021	Merrill Lynch (Australia) Futures Limited	SELL	(7,929)	Ordinary	(6,006)	(6,006)	N/A
09/02/2021	Merrill Lynch (Australia) Futures Limited	BUY	18,659	Ordinary	14,172	14,172	N/A
09/02/2021	Merrill Lynch (Australia) Futures Limited	SELL	(18,636)	Ordinary	(14,172)	(14,172)	N/A
09/03/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(51,355)	(51,355)	Refer to App. B
09/03/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(100)	(800)	Refer to App. B
09/03/2021	Merrill Lynch (Australia) Futures Limited	SELL	(7,793)	Ordinary	(5,856)	(5,856)	N/A
09/03/2021	Merrill Lynch (Australia) Futures Limited	BUY	6,696	Ordinary	5,092	5,092	N/A
09/03/2021	Merrill Lynch (Australia) Futures Limited	BUY	33,803	Ordinary	25,706	25,706	N/A
09/03/2021	Merrill Lynch (Australia) Futures Limited	SELL	(41,663)	Ordinary	(31,683)	(31,683)	N/A
09/03/2021	Merrill Lynch (Australia) Futures Limited	BUY	42,001	Ordinary	31,683	31,683	N/A
09/06/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(105,436)	(105,436)	Refer to App. B
09/06/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(12,946)	(12,946)	Refer to App. B
09/06/2021	Merrill Lynch (Australia) Futures Limited	BUY	814	Ordinary	614	614	N/A
09/06/2021	Merrill Lynch (Australia) Futures Limited	SELL	(4,223)	Ordinary	(3,084)	(3,084)	N/A
09/06/2021	Merrill Lynch (Australia) Futures Limited	BUY	31,802	Ordinary	23,126	23,126	N/A
09/06/2021	Merrill Lynch (Australia) Futures Limited	SELL	(149)	Ordinary	(108)	(108)	N/A
09/06/2021	Merrill Lynch (Australia) Futures Limited	SELL	(30,411)	Ordinary	(23,126)	(23,126)	N/A
09/07/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(133,405)	(133,405)	Refer to App. B
09/07/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(400)	(3,200)	Refer to App. B
09/07/2021	Merrill Lynch (Australia) Futures Limited	BUY	39,859	Ordinary	28,113	28,113	N/A
09/07/2021	Merrill Lynch (Australia) Futures Limited	SELL	(13,066)	Ordinary	(9,276)	(9,276)	N/A
09/07/2021	Merrill Lynch (Australia) Futures Limited	BUY	9,391	Ordinary	6,756	6,756	N/A
09/07/2021	Merrill Lynch (Australia) Futures Limited	SELL	(25,481)	Ordinary	(17,856)	(17,856)	N/A

Date of change	Person whose relevant interest changed	Nature of change (4)	Consideration (5)	Class (6) of securities	Securities affected	Person's votes affected	Remark
09/07/2021	Merrill Lynch (Australia) Futures Limited	SELL	(49,086)	Ordinary	(34,206)	(34,206)	N/A
09/08/2021	Merrill Lynch International	Securities returned	N/A	ADS	(12)	(96)	Refer to App. B
09/08/2021	BofA Securities, Inc.	Securities borrowed	N/A	ADS	900	7,200	Refer to App. B
09/08/2021	Merrill Lynch (Australia) Futures Limited	SELL	(2,928)	Ordinary	(2,029)	(2,029)	N/A
09/08/2021	Merrill Lynch (Australia) Futures Limited	BUY	29,940	Ordinary	20,669	20,669	N/A
09/08/2021	Merrill Lynch (Australia) Futures Limited	SELL	(30,199)	Ordinary	(20,827)	(20,827)	N/A
09/09/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities borrowed	N/A	Ordinary	129,968	129,968	Refer to App. B
09/09/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(585)	(585)	Refer to App. B
09/09/2021	Merrill Lynch International	Securities returned	N/A	ADS	(14)	(112)	Refer to App. B
09/09/2021	BofA Securities, Inc.	Securities borrowed	N/A	ADS	100	800	Refer to App. B
09/09/2021	Merrill Lynch (Australia) Futures Limited	BUY	27,428	Ordinary	19,952	19,952	N/A
09/09/2021	Merrill Lynch (Australia) Futures Limited	BUY	25,312	Ordinary	18,549	18,549	N/A
09/09/2021	Merrill Lynch (Australia) Futures Limited	SELL	(31,401)	Ordinary	(22,457)	(22,457)	N/A
09/09/2021	Merrill Lynch (Australia) Futures Limited	BUY	489	Ordinary	361	361	N/A
09/09/2021	Merrill Lynch (Australia) Futures Limited	SELL	(47,727)	Ordinary	(35,223)	(35,223)	N/A
09/10/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(46,346)	(46,346)	Refer to App. B
09/10/2021	Merrill Lynch International	Securities returned	N/A	ADS	(320,156)	(2,561,248)	Refer to App. B
09/10/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(100)	(800)	Refer to App. B
09/10/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(400)	(3,200)	Refer to App. B
09/10/2021	Merrill Lynch (Australia) Futures Limited	BUY	35,595	Ordinary	25,976	25,976	N/A
09/10/2021	Merrill Lynch (Australia) Futures Limited	SELL	(2,312)	Ordinary	(1,694)	(1,694)	N/A
09/10/2021	Merrill Lynch (Australia) Futures Limited	SELL	(20,550)	Ordinary	(15,060)	(15,060)	N/A
09/10/2021	Merrill Lynch (Australia) Futures Limited	SELL	(36,326)	Ordinary	(26,040)	(26,040)	N/A
09/13/2021	Merrill Lynch International	Securities returned	N/A	ADS	(89,961)	(719,688)	Refer to App. B
09/13/2021	Merrill Lynch (Australia) Futures Limited	BUY	15,112	Ordinary	11,091	11,091	N/A
09/13/2021	Merrill Lynch (Australia) Futures Limited	SELL	(2,023)	Ordinary	(1,461)	(1,461)	N/A
09/13/2021	Merrill Lynch (Australia) Futures Limited	SELL	(1,958)	Ordinary	(1,422)	(1,422)	N/A
09/13/2021	Merrill Lynch (Australia) Futures Limited	BUY	3,011	Ordinary	2,214	2,214	N/A
09/13/2021	Merrill Lynch (Australia) Futures Limited	BUY	858,276	Ordinary	631,085	631,085	N/A
09/13/2021	Merrill Lynch (Australia) Futures Limited	SELL	(15,312)	Ordinary	(11,259)	(11,259)	N/A
09/14/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(400)	(3,200)	Refer to App. B

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09/14/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(900)	(7,200)	Refer to App. B
09/14/2021	Merrill Lynch (Australia) Futures Limited	BUY	1,189	Ordinary	881	881	N/A
09/14/2021	Merrill Lynch (Australia) Futures Limited	SELL	(19,641)	Ordinary	(14,655)	(14,655)	N/A
09/14/2021	Merrill Lynch (Australia) Futures Limited	BUY	21,438	Ordinary	16,039	16,039	N/A
09/14/2021	Merrill Lynch (Australia) Futures Limited	SELL	(2,644)	Ordinary	(1,980)	(1,980)	N/A
09/14/2021	Merrill Lynch (Australia) Futures Limited	SELL	(23,577)	Ordinary	(17,400)	(17,400)	N/A
09/15/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(83,622)	(83,622)	Refer to App. B
09/15/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(73,782)	(73,782)	Refer to App. B
09/15/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(160,924)	(160,924)	Refer to App. B
09/15/2021	BofA Securities, Inc.	Securities borrowed	N/A	ADS	100	800	Refer to App. B
09/15/2021	BofA Securities, Inc.	Securities borrowed	N/A	ADS	600	4,800	Refer to App. B
09/15/2021	BofA Securities, Inc.	Securities borrowed	N/A	ADS	700	5,600	Refer to App. B
09/15/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(700)	(5,600)	Refer to App. B
09/15/2021	Merrill Lynch (Australia) Futures Limited	BUY	54,715	Ordinary	40,862	40,862	N/A
09/15/2021	Merrill Lynch (Australia) Futures Limited	SELL	(528)	Ordinary	(391)	(391)	N/A
09/15/2021	Merrill Lynch (Australia) Futures Limited	BUY	5,474	Ordinary	4,116	4,116	N/A
09/15/2021	Merrill Lynch (Australia) Futures Limited	SELL	(62,487)	Ordinary	(46,287)	(46,287)	N/A
09/15/2021	Merrill Lynch (Australia) Futures Limited	SELL	(9,070)	Ordinary	(6,694)	(6,694)	N/A
09/16/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities borrowed	N/A	Ordinary	406,865	406,865	Refer to App. B
09/16/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(76,728)	(76,728)	Refer to App. B
09/16/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(18,506)	(18,506)	Refer to App. B
09/16/2021	Merrill Lynch International	Securities returned	N/A	ADS	(9)	(72)	Refer to App. B
09/16/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(100)	(800)	Refer to App. B
09/16/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(500)	(4,000)	Refer to App. B
09/16/2021	Merrill Lynch (Australia) Futures Limited	BUY	453	Ordinary	343	343	N/A
09/16/2021	Merrill Lynch (Australia) Futures Limited	BUY	12,699	Ordinary	9,533	9,533	N/A
09/16/2021	Merrill Lynch (Australia) Futures Limited	SELL	(12,786)	Ordinary	(9,542)	(9,542)	N/A
09/17/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(113,237)	(113,237)	Refer to App. B
09/17/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(282,597)	(282,597)	Refer to App. B
09/17/2021	Merrill Lynch International	Securities returned	N/A	ADS	(9)	(72)	Refer to App. B
09/17/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(100)	(800)	Refer to App. B

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09/17/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(700)	(5,600)	Refer to App. B
09/17/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(9,300)	(74,400)	Refer to App. B
09/17/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(1,100)	(8,800)	Refer to App. B
09/17/2021	Merrill Lynch (Australia) Futures Limited	BUY	114,864	Ordinary	90,408	90,408	N/A
09/17/2021	Merrill Lynch (Australia) Futures Limited	BUY	48,279	Ordinary	38,317	38,317	N/A
09/17/2021	Merrill Lynch (Australia) Futures Limited	BUY	25,393	Ordinary	19,340	19,340	N/A
09/17/2021	Merrill Lynch (Australia) Futures Limited	SELL	(38,327)	Ordinary	(28,602)	(28,602)	N/A
09/20/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities borrowed	N/A	Ordinary	133,333	133,333	Refer to App. B
09/20/2021	Merrill Lynch International	Securities borrowed	N/A	ADS	97,022	776,176	Refer to App. B
09/20/2021	Merrill Lynch International	Securities borrowed	N/A	ADS	541,600	4,332,800	Refer to App. B
09/20/2021	Merrill Lynch (Australia) Futures Limited	SELL	(21,865)	Ordinary	(17,067)	(17,067)	N/A
09/20/2021	Merrill Lynch (Australia) Futures Limited	BUY	1,476	Ordinary	1,162	1,162	N/A
09/20/2021	Merrill Lynch (Australia) Futures Limited	SELL	(4,656)	Ordinary	(3,658)	(3,658)	N/A
09/20/2021	Merrill Lynch (Australia) Futures Limited	BUY	41,335	Ordinary	32,305	32,305	N/A
09/20/2021	Merrill Lynch (Australia) Futures Limited	SELL	(41,189)	Ordinary	(32,305)	(32,305)	N/A
09/21/2021	Merrill Lynch International	Securities returned	N/A	ADS	(13,089)	(104,712)	Refer to App. B
09/21/2021	Merrill Lynch International	Securities returned	N/A	ADS	(541,600)	(4,332,800)	Refer to App. B
09/21/2021	Merrill Lynch International	Securities borrowed	N/A	ADS	554,689	4,437,512	Refer to App. B
09/21/2021	Merrill Lynch International	Securities returned	N/A	ADS	(97,022)	(776,176)	Refer to App. B
09/21/2021	Merrill Lynch International	Securities returned	N/A	ADS	(252,400)	(2,019,200)	Refer to App. B
09/21/2021	Merrill Lynch International	Securities borrowed	N/A	ADS	349,422	2,795,376	Refer to App. B
09/21/2021	Merrill Lynch International	Securities returned	N/A	ADS	(134,509)	(1,076,072)	Refer to App. B
09/21/2021	Merrill Lynch International	BUY	115	Ordinary	90	90	N/A
09/21/2021	Merrill Lynch International	SELL	(115)	Ordinary	(90)	(90)	N/A
09/21/2021	Merrill Lynch (Australia) Futures Limited	BUY	65,845	Ordinary	53,049	53,049	N/A
09/21/2021	Merrill Lynch (Australia) Futures Limited	SELL	(31,630)	Ordinary	(25,637)	(25,637)	N/A
09/21/2021	Merrill Lynch (Australia) Futures Limited	BUY	13,375	Ordinary	10,743	10,743	N/A
09/21/2021	Merrill Lynch (Australia) Futures Limited	SELL	(122,294)	Ordinary	(95,917)	(95,917)	N/A
09/22/2021	Merrill Lynch International	Securities borrowed	N/A	ADS	146,721	1,173,768	Refer to App. B
09/22/2021	Merrill Lynch (Australia) Futures Limited	BUY	19,719	Ordinary	15,597	15,597	N/A
09/22/2021	Merrill Lynch (Australia) Futures Limited	BUY	1,918	Ordinary	1,525	1,525	N/A

Date of change	Person whose relevant interest changed	Nature of change (4)	Consideration (5)	Class (6) of securities	Securities affected	Person's votes affected	Remark
09/22/2021	Merrill Lynch (Australia) Futures Limited	SELL	(6,185)	Ordinary	(4,874)	(4,874)	N/A
09/22/2021	Merrill Lynch (Australia) Futures Limited	SELL	(24,564)	Ordinary	(19,730)	(19,730)	N/A
09/23/2021	Merrill Lynch International	Securities returned	N/A	ADS	(146,721)	(1,173,768)	Refer to App. B
09/23/2021	Merrill Lynch International	Securities returned	N/A	ADS	(420,180)	(3,361,440)	Refer to App. B
09/23/2021	Merrill Lynch International	Securities borrowed	N/A	ADS	566,901	4,535,208	Refer to App. B
09/23/2021	Merrill Lynch International	Securities returned	N/A	ADS	(190,151)	(1,521,208)	Refer to App. B
09/23/2021	BofA Securities, Inc.	Securities borrowed	N/A	ADS	600	4,800	Refer to App. B
09/23/2021	Merrill Lynch International	BUY	1	Ordinary	1	1	N/A
09/23/2021	Merrill Lynch International	BUY	4	Ordinary	3	3	N/A
09/23/2021	Merrill Lynch International	BUY	32	Ordinary	24	24	N/A
09/23/2021	Merrill Lynch International	BUY	82	Ordinary	62	62	N/A
09/23/2021	Merrill Lynch (Australia) Futures Limited	BUY	17,354	Ordinary	13,112	13,112	N/A
09/23/2021	Merrill Lynch (Australia) Futures Limited	BUY	109	Ordinary	81	81	N/A
09/23/2021	Merrill Lynch (Australia) Futures Limited	BUY	11,216	Ordinary	8,501	8,501	N/A
09/24/2021	Merrill Lynch International	Securities borrowed	N/A	ADS	92,160	737,280	Refer to App. B
09/24/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(600)	(4,800)	Refer to App. B
09/24/2021	Merrill Lynch (Australia) Futures Limited	SELL	(38,621)	Ordinary	(28,608)	(28,608)	N/A
09/24/2021	Merrill Lynch (Australia) Futures Limited	BUY	34,019	Ordinary	25,434	25,434	N/A
09/24/2021	Merrill Lynch (Australia) Futures Limited	SELL	(672)	Ordinary	(492)	(492)	N/A
09/24/2021	Merrill Lynch (Australia) Futures Limited	SELL	(64,787)	Ordinary	(47,463)	(47,463)	N/A
09/27/2021	Merrill Lynch International	Securities returned	N/A	ADS	(92,160)	(737,280)	Refer to App. B
09/27/2021	Merrill Lynch International	Securities returned	N/A	ADS	(376,750)	(3,014,000)	Refer to App. B
09/27/2021	Merrill Lynch International	Securities borrowed	N/A	ADS	468,910	3,751,280	Refer to App. B
09/27/2021	Merrill Lynch International	Securities returned	N/A	ADS	(455,812)	(3,646,496)	Refer to App. B
09/27/2021	BofA Securities, Inc.	Securities borrowed	N/A	ADS	600	4,800	Refer to App. B
09/27/2021	Merrill Lynch (Australia) Futures Limited	SELL	(382)	Ordinary	(288)	(288)	N/A
09/27/2021	Merrill Lynch (Australia) Futures Limited	SELL	7,789	Ordinary	5,706	5,706	N/A
09/27/2021	Merrill Lynch (Australia) Futures Limited	BUY	6,872	Ordinary	5,191	5,191	N/A
09/27/2021	Merrill Lynch (Australia) Futures Limited	SELL	(7,789)	Ordinary	(5,706)	(5,706)	N/A
09/28/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(300)	(2,400)	Refer to App. B
09/28/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(200)	(1,600)	Refer to App. B

Date of change	Person whose relevant interest changed	Nature of change (4)	Consideration (5)	Class (6) of securities	Securities affected	Person's votes affected	Remark
09/28/2021	BofA Securities, Inc.	Securities returned	N/A	ADS	(100)	(800)	Refer to App. B
09/28/2021	Merrill Lynch (Australia) Futures Limited	SELL	(7,560)	Ordinary	(5,706)	(5,706)	N/A
09/28/2021	Merrill Lynch (Australia) Futures Limited	BUY	15,078	Ordinary	11,236	11,236	N/A
09/28/2021	Merrill Lynch (Australia) Futures Limited	SELL	(14,888)	Ordinary	(11,236)	(11,236)	N/A
09/28/2021	Merrill Lynch (Australia) Futures Limited	BUY	24,224	Ordinary	17,944	17,944	N/A
09/29/2021	Merrill Lynch International	Securities returned	N/A	ADS	(1,000)	(8,000)	Refer to App. B
09/29/2021	Merrill Lynch (Australia) Futures Limited	SELL	(28,948)	Ordinary	(21,523)	(21,523)	N/A
09/29/2021	Merrill Lynch (Australia) Futures Limited	BUY	28,947	Ordinary	21,520	21,520	N/A
09/29/2021	Merrill Lynch (Australia) Futures Limited	SELL	(22,818)	Ordinary	(16,987)	(16,987)	N/A
09/29/2021	Merrill Lynch (Australia) Futures Limited	SELL	(2,417,946)	Ordinary	(1,796,191)	(1,796,191)	N/A

Appendix B-1: Prescribed information

Type of agreement	International Prime Brokerage Agreement
Parties to agreement	Merrill Lynch International, Merrill Lynch Markets (Australia) Pty Limited and Equity Trustees Limited As Trustee And Responsible Entity Of Regal Investment Fund
Holder of voting rights	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	On recall of the securities loan by the securities lender.
Does the borrower have the right to return early?	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
Does the lender have the right to recall early?	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	N/A

Appendix B-2: Prescribed information

Type of agreement	International Prime Brokerage Agreement
Parties to agreement	Merrill Lynch International, Merrill Lynch Markets (Australia) Pty Limited and Regal Funds Management Pty Limited as Trustee of Atlantic Absolute Return Fund
Holder of voting rights	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	On recall of the securities loan by the securities lender.
Does the borrower have the right to return early?	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
Does the lender have the right to recall early?	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	N/A

Appendix B-3: Prescribed information

Type of agreement	International Prime Brokerage Agreement
Parties to agreement	Merrill Lynch International, Merrill Lynch Markets (Australia) Pty Limited and Regal Funds Management Pty Limited as Trustee of Regal Australian Long Short Equity Fund
Holder of voting rights	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	On recall of the securities loan by the securities lender.
Does the borrower have the right to return early?	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
Does the lender have the right to recall early?	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	N/A

Appendix B-4: Prescribed information

Type of agreement	International Prime Brokerage Agreement
Parties to agreement	Merrill Lynch International, Merrill Lynch Markets (Australia) Pty Limited and Regal Funds Management Pty Limited as Trustee of Tasman Market Neutral Fund
Holder of voting rights	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	On recall of the securities loan by the securities lender.
Does the borrower have the right to return early?	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
Does the lender have the right to recall early?	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	N/A

Appendix B-5: Prescribed information

Type of agreement	International Prime Brokerage Agreement
Parties to agreement	Merrill Lynch International, Merrill Lynch Markets (Australia) Pty Limited and Susquehanna Pacific Pty Ltd
Holder of voting rights	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	On recall of the securities loan by the securities lender.
Does the borrower have the right to return early?	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
Does the lender have the right to recall early?	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	N/A

Appendix B-6: Prescribed information

Type of agreement	International Prime Brokerage Agreement
Parties to agreement	Merrill Lynch International, Merrill Lynch Markets (Australia) Pty Limited and Virtu Financial Asia Pty Ltd
Holder of voting rights	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	On recall of the securities loan by the securities lender.
Does the borrower have the right to return early?	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
Does the lender have the right to recall early?	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	N/A

Appendix B-7: Prescribed information

Type of agreement	International Prime Brokerage Agreement
Parties to agreement	Merrill Lynch International, Merrill Lynch Markets (Australia) Pty Limited and Amazon Market Neutral Fund (GCM)
Holder of voting rights	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	On recall of the securities loan by the securities lender.
Does the borrower have the right to return early?	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
Does the lender have the right to recall early?	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	N/A

Appendix B-8: Prescribed information

Type of agreement	International Prime Brokerage Agreement
Parties to agreement	Merrill Lynch International, Merrill Lynch Markets (Australia) Pty Limited and PARHELION LTD (GCM)
Holder of voting rights	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	On recall of the securities loan by the securities lender.
Does the borrower have the right to return early?	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
Does the lender have the right to recall early?	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	N/A

Appendix B-9: Prescribed information

Type of agreement	International Prime Brokerage Agreement
Parties to agreement	Merrill Lynch International, Merrill Lynch Markets (Australia) Pty Limited and ZAMBEZI ABSOLUTE RETURN FUND (GCM)
Holder of voting rights	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	On recall of the securities loan by the securities lender.
Does the borrower have the right to return early?	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
Does the lender have the right to recall early?	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	N/A

Appendix B-10: Prescribed information

Type of agreement	Securities Lending Agreement
Parties to agreement	BofA Securities, Inc. and CHARLES SCHWAB & CO INC
Holder of voting rights	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	On recall of the securities loan by the securities lender.
Does the borrower have the right to return early?	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
Does the lender have the right to recall early?	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	N/A

Appendix B-11: Prescribed information

Type of agreement	Securities Lending Agreement
Parties to agreement	BofA Securities, Inc. and FIDELITY AGENCY BBH10
Holder of voting rights	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	On recall of the securities loan by the securities lender.
Does the borrower have the right to return early?	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
Does the lender have the right to recall early?	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	N/A

Appendix B-12: Prescribed information

Type of agreement	Securities Lending Agreement
Parties to agreement	BofA Securities, Inc. and GOLDMAN SACHS TRUST CO.
Holder of voting rights	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	On recall of the securities loan by the securities lender.
Does the borrower have the right to return early?	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
Does the lender have the right to recall early?	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	N/A

Appendix B-13: Prescribed information

Type of agreement	Securities Lending Agreement
Parties to agreement	BofA Securities, Inc. and INTERACTIVE BROKERS
Holder of voting rights	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	On recall of the securities loan by the securities lender.
Does the borrower have the right to return early?	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
Does the lender have the right to recall early?	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	N/A

Appendix B-14: Prescribed information

Type of agreement	Securities Lending Agreement
Parties to agreement	BofA Securities, Inc. and STATE STREET BANK & TRUST COMPANY
Holder of voting rights	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	On recall of the securities loan by the securities lender.
Does the borrower have the right to return early?	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
Does the lender have the right to recall early?	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	N/A

Appendix B-15: Prescribed information

Type of agreement	Securities Lending Agreement
Parties to agreement	BofA Securities, Inc. and THE NORTHERN TRUST COMPANY
Holder of voting rights	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	On recall of the securities loan by the securities lender.
Does the borrower have the right to return early?	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
Does the lender have the right to recall early?	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	N/A

Appendix C

Related bodies corporate	Incorporated	ACN/Registration/Incorporation Number
Merrill Lynch International	United Kingdom	2312079
ML UK Capital Holdings Limited	United Kingdom	4971231
BofAML EMEA Holdings 2 Limited	United States	119605
Merrill Lynch (Australia) Futures Limited	Australia	003639674
Merrill Lynch International (Australia) Ltd	Australia	002892846
Merrill Lynch Markets (Australia) Pty. Limited	Australia	075587816
Merrill Lynch (Australasia) Pty. Ltd	Australia	075923894
Bank of America Global Holdings, LLC	United States	5169939
BofAML Jersey Holdings Limited	Jersey	115831
BofA Securities, Inc.	United States	5793661
NB Holdings Corporation	United States	2361372
Bank of America Corporation	United States	N/A628